

## **Corporate Governance Compliance Officer**

## A. Duties and Responsibilities

This role will work closely with the Board of Directors to ensure the effective operation of key elements of Milestone Guaranty and Assurance Corp. corporate governance.

He/She will be responsible for compliance reporting to the Board, liaising with stakeholders and raising issues to the Board where appropriate.

## Specific duties:

- 1. Develop, implement, maintain, and revise policies and procedures for the general operation of the Compliance Program;
- 2. Develop, review and update the Company's Code of Conduct;
- 3. Respond to alleged violations of rules, regulations, policies, procedures, and Standards of Conduct by evaluating or recommending the initiation of investigative procedures;
- 4. Assist in the development of and monitor systems for uniform handling of such violations across the multiple administrative venues.
- 5. Identify potential areas of compliance vulnerability and risk;
- 6. Direct the development and implementation of corrective action plans by line authorities for resolution of problematic issues; and provide general guidance on how avoid or mitigate similar situations in the future.

## B. Qualifications

A degree, or equivalent level qualification

Knowledge of Insurance regulations

Impeccable communication skills

Quality and attention to detail

Ability to take initiative and forward plan

Interpersonal and diplomacy skills – able to deal with stakeholders of all levels Understanding and ability to maintain confidentiality

Adopted: April 30, 2021